

# PROJECT-LEVEL ASSESSMENTS

A&WMA Mother Lode Chapter



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# What type of project-level assessments may need to be completed?

- CEQA Analysis
  - Maximum daily emissions
  - Constructions and operations (*separate thresholds*)
- General Conformity
  - Peak annual emissions
  - Constructions and operations (*cumulative*)
- Transportation Conformity
  - CO hot spots
  - PM10/2.5 hot spots

# General conformity de minimis thresholds for Sacramento County

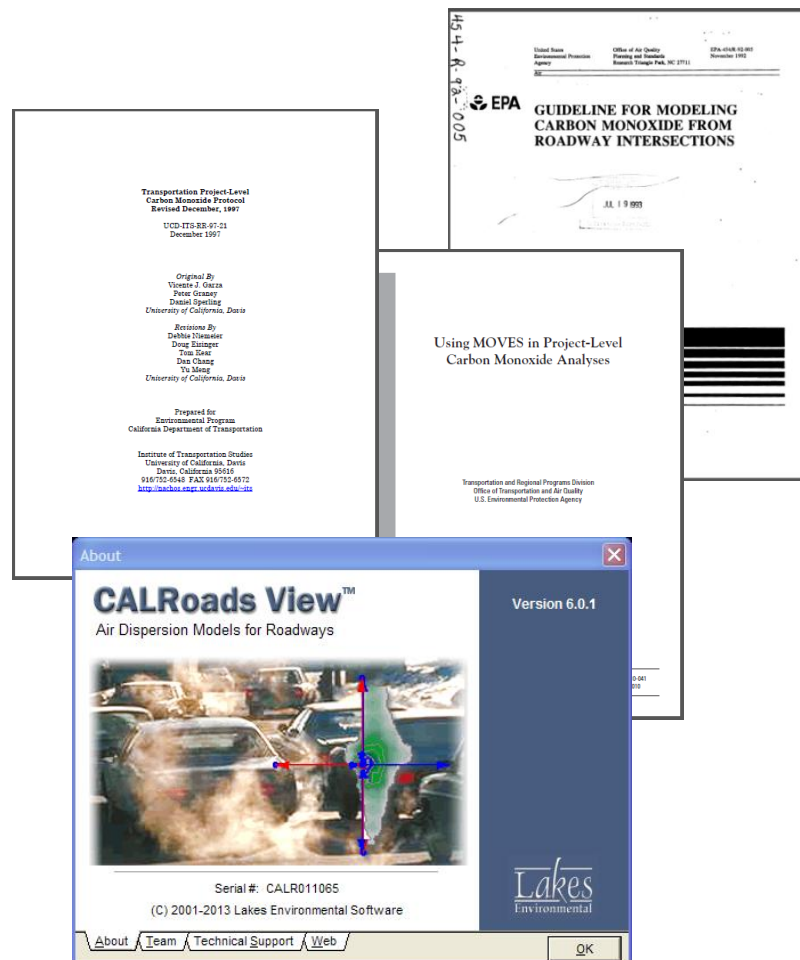
Pollutant	Federal Attainment Status	De Minimis Threshold (40 CFR 93.153)
VOC	Severe nonattainment (O <sub>3</sub> precursor)	25 tpy
NOx	Severe nonattainment (O <sub>3</sub> precursor)	25 tpy
PM10	Moderate nonattainment	100 tpy
PM2.5	Nonattainment	100 tpy
CO	Maintenance	100 tpy
SO <sub>2</sub>	PM2.5 precursor	100 tpy

Source: EPA. 2013. *The Green Book Nonattainment Areas for Criteria Pollutants*. July 31. Available online at: <http://www.epa.gov/airquality/greenbk/> [Last accessed in October 2013].

- Only applicable to projects with federal action
- Projects with emissions less than de minimis thresholds assumed to conform with state implementation plan (SIP)

# What type of analysis is required for transportation conformity?

- CO hot spots analysis
  - Screening process available in the SMAQMD *CEQA Guidelines*
  - Follow UC Davis/Caltrans *CO Protocol* (1997) for all refined analyses
  - U.S. EPA guidance for MOVES2010b and CAL3QHC to be used for other states



# What type of analysis is required for transportation conformity (continued)?

- PM10/2.5 hot spots
  - Generally only required for projects that result in a significant increase of diesel vehicles
  - Interagency consultation to confirm requirements

Type of Project	Recommended Model(s)
Highway and intersection projects	AERMOD, CAL3QHCR
Transit, freight, and other terminal projects	AERMOD
Projects that involve both highway/intersections and terminals, and/or nearby sources	AERMOD

# What methods are available to complete a project-level assessment?

## Emission Factors

- CalEEMod
- EMFAC2011
- OFFROAD Database Models
- OFFROAD2007
- SMAQMD Roadway Construction Emissions Model
- MOVES2010b
- NONROAD2008a
- AP-42

## Air Dispersion and Health Risk

- AERMOD
- CALPUFF
- EDMS
- HARP
- CAL3QHC/R
- CALINE4

***Other methods /  
options are available!***

# How to determine what model/method to use to estimate emissions

- How much information/data do I have?
- What level of environmental review (Initial Study, Environmental Impact Report, etc.) is required?
- How complex is the project?
- What are the possible emission sources (both construction and operations)?
- Where is it located (California or somewhere else)?
- What are the regulatory requirements (i.e., does an agency, like the FAA, require a specific model)?
- What is the attainment status of the region?
- Is there federal action?

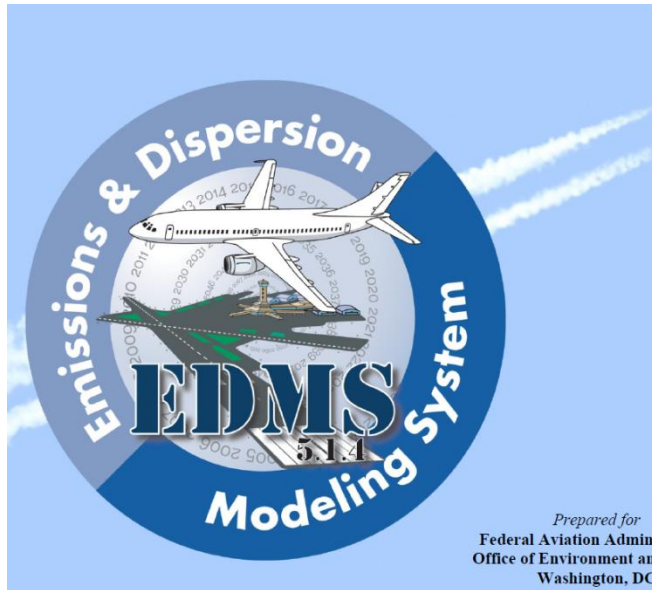
# What obstacles often need to be overcome in project-level analyses?

- Limited project information often available from project proponents
- Many agencies and project proponents are overworked and understaffed
- A combination of models/methods is often required to complete analysis
- Some equipment types not available in CalEEMod (airport ground support equipment)
- Is the government operating (e.g., are data and/or staff available)?

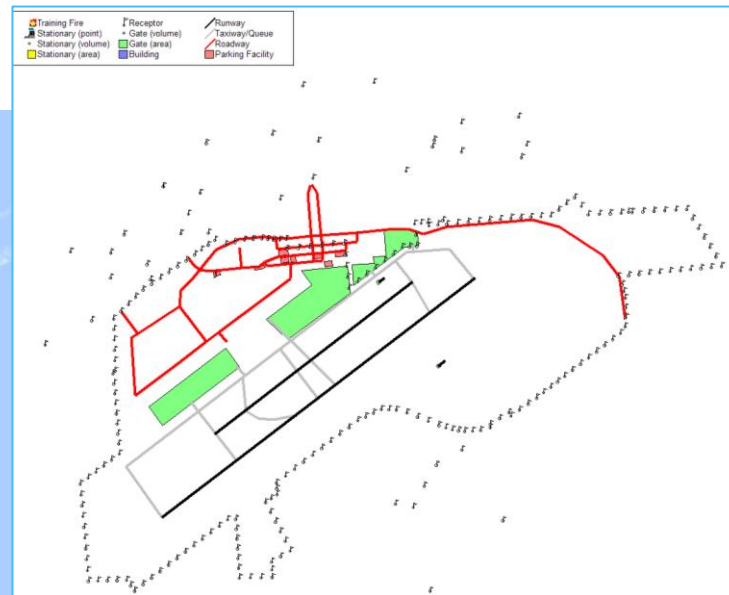


# Regulatory requirements may dictate the tools to be used

- EDMS is the required model to perform air quality analyses for aviation sources



Prepared for  
Federal Aviation Administration  
Office of Environment and Energy  
Washington, DC



# Regulatory requirements can present challenges (Case Study: EDMS)

- Ground support equipment (GSE), roadways, and parking lot parameters are not California-specific
- Model input files can be HUGE

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SO HOUREMIS 07 01 01 01 PARKA001 2.500213e-007
SO HOUREMIS 07 01 01 01 PARKA002 1.859455e-007
SO HOUREMIS 07 01 01 01 PARKA003 2.460147e-007
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002 2.964976e-008
003 2.964976e-008
001 2.416444e-008
001 3.358569e-008
002 3.358569e-008
001 2.137634e-006
```

User-Created GSE

Default Values

OpTime for Arrivals: 0 (minutes)

OpTime for Departures: 0 (minutes)

Annual Operating Time: 0 (hours)

Power Rating: 244 (horsepower)

Load Factor: 33.5 (%)

Emission Factors Data Source

☒ User-Specified ☐ System Tables

System GSE Type: Air Conditioner (ACE 802)

Manu Year: 1996

Emission Factors (g/hp-hr)

	CO	THC as THC (unreported)	NOx	SOx	PM-10
Diesel	3.111592	0.291828	6.717401	0	0.156709
Gasoline	0	0	0	0	0
CNG	0	0	0	0	0
LPG	0	0	0	0	0

OK Cancel Apply Help

# Projects with limited information can be handled simply

- **“The proposed project consists of a 64-unit mid-level apartment building with 100 parking places.”**
  - Project is in the preliminary stages of analysis and an Initial Study/Mitigated Negative Declaration is being prepared.
  - Client is unable to provide specific project details.

Use CalEEMod

The screenshot displays the CalEEMod 2013.2.1 software interface, specifically the 'Project Characteristics' tab. The interface is divided into several sections:

- Project Detail:** Contains input fields for Project Name, Project Location (with dropdowns), Windspeed (m/s) set to 0, Precipitation Frequency (days) set to 0, Climate Zone (dropdown), Land Use Setting set to Urban, and Operational Year set to 2014.
- Utility Information:** Includes a dropdown for 'Select Utility Company' and input fields for CO2 Intensity Factor (lb/MWh), CH4 Intensity Factor (lb/MWh), and N2O Intensity Factor (lb/MWh), all set to 0. A note states: '\*If "User Defined" is selected, user must specify data source in Remarks'.
- Pollutants:** A table with columns 'Pollutant Selection' and 'Pollutant Full Name'. All listed pollutants are checked for selection.

Pollutant Selection	Pollutant Full Name
<input checked="" type="checkbox"/>	Reactive Organic Gases (ROG)
<input checked="" type="checkbox"/>	Nitrogen Oxides (NOx)
<input checked="" type="checkbox"/>	Carbon Monoxide (CO)
<input checked="" type="checkbox"/>	Sulfur Dioxide (SO2)
<input checked="" type="checkbox"/>	Particulate Matter 10um (PM10)
<input checked="" type="checkbox"/>	Particulate Matter 2.5um (PM2.5)
<input checked="" type="checkbox"/>	Fugitive PM10um (PM10)
<input checked="" type="checkbox"/>	Fugitive PM2.5um (PM2.5)
<input checked="" type="checkbox"/>	Biogenic Carbon Dioxide (CO2)
<input checked="" type="checkbox"/>	Non-Biogenic Carbon Dioxide (CO2)
<input checked="" type="checkbox"/>	Carbon Dioxide (CO2)
<input checked="" type="checkbox"/>	Methane (CH4)
<input checked="" type="checkbox"/>	Nitrous Oxide (N2O)
<input checked="" type="checkbox"/>	CO2 Equivalent GHGs (CO2e)

Buttons at the top right include 'Import csv', 'Default', and 'Undo'. Buttons for 'Select All' and 'Clear All' are above the pollutants table. A 'Next >>' button is at the bottom right. A 'Remarks' text area is at the bottom left.

# When is CalEEMod not a good tool to use?

- Limited land use options
  - Often difficult to fit a project type (e.g., airport) to the available options
- Limited flexibility, especially for highly complex projects
- Current version (2013.2.2) is improved, but bugs and other issues can be problematic
- Often does not handle change well
  - Output reports are finicky
  - Changes to the input can destroy links/references to Excel output

# Projects with detailed information require a complex analysis

Alt 2\_Offroad Construction Emissions.xlsx - Microsoft Excel

File Home Insert Page Layout Formulas Data Review View Developer Add-Ins Acrobat

Clipboard Font Alignment Number Styles Cells Editing

AL27 =IFERROR(IF(SE27="onroad",INDEX(INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS19),MATCH(SK27,INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS20),0),MATCH(ALS26,INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS21),0)),INDEX(INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS19),MATCH(SK27,INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS20),0),MATCH(ALS26,INDIRECT("'"&SALS188".xlsx"&SALS238"!'&SALS21),0))),INDEX(INDIRECT("'"&SALS138".xlsx"&SALS238"!'&SALS14),MATCH(SK27,INDIRECT("'"&SALS138".xlsx"&SALS238"!'&SALS15),0),MATCH(ALS26,INDIRECT("'"&SALS138".xlsx"&SALS238"!'&SALS16),0)))

INDIRECT Formula Lookups

OFFROAD2011 Workbook Name (VOC, NOx, PM10, PM2.5 - Diesel Only) OFFROAD2011 Emission Factors  
 OFFROAD2011 Array for INDEX, MATCH Functions \$H\$5:\$S\$151  
 OFFROAD2011 Array for Row MATCH Function \$H\$5:\$H\$151  
 OFFROAD2011 Array for Column MATCH Function \$H\$5:\$S\$5

OFFROAD2007 Workbook Name (CO, SO2 - All Fuels) OFFROAD2007 Emission Factors  
 OFFROAD2007 Array for INDEX, MATCH Functions \$I\$5:\$K\$417  
 OFFROAD2007 Array for Row MATCH Function \$I\$5:\$I\$417  
 OFFROAD2007 Array for Column MATCH Function \$I\$5:\$K\$5

EMFAC2011 Workbook Name EMFAC2011 Emission Factors\_Onsite Construction Vehicles  
 EMFAC2011 Array for INDEX, MATCH Functions \$Q\$7:\$S\$106  
 EMFAC2011 Array for Row MATCH Function \$Q\$7:\$Q\$106  
 EMFAC2011 Array for Column MATCH Function \$Q\$7:\$S\$7

Construction Year --> 2016 2016 2016 2016 2016

Activity	Equipment Type	Equipment Size	Type	OFFROAD Lookup Name	Total Hours	Days per Year	2016	2017	VOC	NOx	CO	SO2	PM10
27 Construction Survey	3/4 ton pickup truck		Onroad	LHD1-GAS-10	96.00	52	210		5.037	3.900	60.209	0.061	0.513
28 Dust Control	Water truck	5000 gal	Onroad	T6 instate construction heavy-DSL-10	2,320.00	52	210		7.746	125.318	13.903	0.108	2.768
29 Environmental protection measures	3/4 ton pickup truck		Onroad	LHD1-GAS-10	80.00	52	210		5.037	3.900	60.209	0.061	0.513
30	3/4 ton pickup truck		Onroad	LHD1-GAS-10	1,213.00	52	210		5.037	3.900	60.209	0.061	0.513
31 Clear & Grub - Sheriff's Overlook (Staging Area)	1 ton pickup truck		Onroad	LHD2-GAS-10	0.46	52	0		3.579	3.535	47.996	0.062	0.504
32	Tractor/crawler/dozer	250 hp	Offroad	D-Crawler Tractors (175-hp<=250)	0.77	52	0		0.159	2.593	0.807	0.003	0.100
33	Chain saw		Offroad	G2-Chainsaws (2-hp<=15)	0.77	52	0		7.291	0.114	13.187	0.001	0.021
34	Front end loader	540 hp	Offroad	D-Rubber Tired Loaders (500-hp<=750)	0.39	52	0		0.111	1.509	0.692	0.003	0.059
35	Tractor/crawler/dozer	310 hp	Offroad	D-Crawler Tractors (250-hp<=500)	0.39	52	0		0.141	2.264	0.716	0.002	0.088
36	Brush chipper	250 hp	Offroad	D-Chippers/Stump Grinders (175-hp<=250)	0.39	52	0		0.205	2.269	0.800	0.005	0.068
37	Front end loader	540 hp	Offroad	D-Rubber Tired Loaders (500-hp<=750)	0.39	52	0		0.111	1.509	0.692	0.003	0.059
38	Grader	135 hp	Offroad	D-Graders (120-hp<=175)	11.11	52	0		0.273	3.372	1.893	0.004	0.189
39	Vibratory roller	85 hp	Offroad	D-Rollers (50-hp<=120)	16.00	52	0		0.195	2.178	1.500	0.003	0.160
40	Tractor/crawler/dozer	310 hp	Offroad	D-Crawler Tractors (250-hp<=500)	3.26	52	0		0.141	2.264	0.716	0.002	0.088
41	Tractor/crawler/dozer	440 hp	Offroad	D-Crawler Tractors (250-hp<=500)	0.81	52	0		0.141	2.264	0.716	0.002	0.088
42	Asphalt distributor	3000 gal	Onroad	T6 instate construction heavy-DSL-10	0.81	52	0		7.746	125.318	13.903	0.108	2.768
43	Flatbed truck		Onroad	T6TS-GAS-10	8.75	52	0		9.236	9.041	130.746	0.061	0.499
44	Auger Drill	10 hp	Offroad	G4-Bore/Drill Rigs (hp<=15)	8.75	52	0		3.341	2.469	132.341	0.006	1.896
45	Loader/backhoe	75 hp	Offroad	D-Tractors/Loaders/Backhoes (50-hp<=120)	4.00	52	0		0.164	1.895	1.307	0.002	0.146
46	Flatbed truck		Onroad	T6TS-GAS-10	4.00	52	0		9.236	9.041	130.746	0.061	0.499
47	Clear & Grub - Sediment Removal Area & Access Rd	1 ton pickup truck	Onroad	LHD2-GAS-10	23.16	0	42		3.579	3.535	47.996	0.062	0.504
48	Excavator	94 hp	Offroad	D-Excavators (50-hp<=120)	23.16	0	42		0.150	1.798	1.915	0.003	0.131
49	Front end loader	210 hp	Offroad	D-Rubber Tired Loaders (175-hp<=250)	23.16	0	42		0.118	1.851	0.609	0.003	0.063

Ready 100%

# Flexibility in Excel workbooks is essential to control variables

- **DO NOT HARD CODE DATA IF POSSIBLE!!**
- Key considerations in spreadsheet:
  - “Lookup column” created to lookup emission factors based on fuel type, OFFROAD model name, and horsepower size
  - **IF/THEN** statement written to lookup on- versus off-road emission factors
  - **INDEX/MATCH** statement written to lookup equipment type (“lookup column”) by pollutant
  - **INDIRECT** statement written to change the referenced external workbook and/or emission factor year as necessary
  - Variables (construction start year and truck speed) placed in external cell for flexibility

# How can project-level analyses affect project development?

- Large commercial airport in California
  - Preferred alternative changed based on public comments
  - End result equals a hybrid of two alternatives analyzed in DEIR
- Projects located in ozone nonattainment regions
  - NOx emissions can be difficult to mitigate compared to PM
  - Incorporate emission control measures during project design and not as an afterthought with mitigation
  - General conformity requires mitigation to zero if de minimis thresholds exceeded

# Possible tool and policy improvements

- Allow option to report emission factors in a variety of units (e.g., g/bhp-hr for OFFROAD)
- Combine all pollutants and fuel types into one consistent OFFROAD model
- Add methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) to web-based EMFAC model
- Allow all pollutants to be reported by speed bin in EMFAC
- Add ability to select specific vehicle categories in EMFAC2011-PL
- Additional flexibility in EMFAC and OFFROAD for mitigation could improve analysis (e.g., emission tiers, model years, etc.)



# Possible tool and policy improvements (continued)

- Add ability to select specific vehicle categories in EMFAC2011-PL
- Streamline the output reports for CalEEMod
- Expand land use options for CalEEMod
- Improve ability to analyze multiple phases in CalEEMod
- Include GSE and other industrial off-road equipment in CalEEMod for operations

# Questions?

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